

What's Next Regarding Validation and Verification: Overview of ISO 16140 Series

Presented By: Paul in't Veld, DeAnn Benesh, Daniele Sohier Sponsored By: Bruker Daltonics and Q Laboratories Organized by: Methods Validation & Verification Interest Group within the Applied Laboratory Methods PDG

# Webinar Housekeeping

International Association for

- For best viewing of the presentation material, please click on 'maximize' in the upper right corner of the 'Slide' window, then 'restore' to return to normal view.
- Audio is being transmitted over the computer, so please have your speakers 'on' and volume turned up in order to hear. A telephone connection is not available.
- Questions should be submitted to the presenters during the presentation via the Questions section at the right of the screen.

# Webinar Housekeeping

International Association for

- It is important to note that all opinions and statements are those of the individual making the presentation and not necessarily the opinion or view of IAFP.
- This webinar is being recorded and will be available for access by IAFP members at <u>www.foodprotection.org</u> within one week.

# Paul in 't Veld



Senior Scientist – Netherlands Food and Consumer Product Safety Authority (NVWA)

International Association for

- Main responsibilities involve support of inspectors on microbiological issues and standardization of methods.
- Serves as a technical assessor for laboratories having an ISO 17025 accreditation in several countries
- Convener of ISO WG3 which involves revision of ISO 16140, validation of alternative methods
- Involved in research related to bacterial toxins such as the emetic toxin for *Bacillus cereus*

## DeAnn L. Benesh





Global Regulatory Affairs Manager – 3M Food Safety

International Association for

- Leads regulatory activities with government and nongovernment entities to help drive harmonization, recognition and acceptance of microbiological methods
- Member of MicroVal General Committee
- Active member of IAFP International and Food Law PDGs
- Co-chair of WG3 drafting ISO 16140-part 3
- Fellow of AOAC INTERNATIONAL and past Chair of the Research Institute Board of Directors
- Currently serves on AOAC Board of Directors as Past-President

# Daniele Sohier



#### Bruker Daltonics, Germany

International Association for

- Managed the AOAC and ISO validation studies of alternative methods at ADRIA expert laboratory (FR) for more than 10 years and over 100 studies
- Joined Bruker two years ago to design and coordinate the very first AOAC-OMA and ISO 16140-part 6 studies of a MALDI-TOF technology for rapid and reliable confirmation and identification of microbial isolates
- Member of the AFNOR Certification committee and MicroVal technical and general committees
- Current President of the European IAFP event and has organized more than 20 symposia, workshops or international conferences and has more than 100 international publications or communications



Food and Consumer Product Safety Authority Ministry of Agriculture, Nature and Food Quality

#### IAFP webinar:

What's Next Regarding Validation and Verification: Overview of ISO 16140 Series:

Introduction

Paul in 't Veld, convenor WG3



#### Standards under responsibility WG 3

- WG3 belongs to ISO TC34/SC9: food microbiology.
- WG 3 started in 2006.
- Mandate:
  - Update ISO 16140 (2003): Validation of alternative (proprietary) methods
  - Develop standards on:
    - Validation of standardised methods,
    - Single lab validation,
    - Factorial design validation,
    - Method verification and
    - Validation of confirmation methods



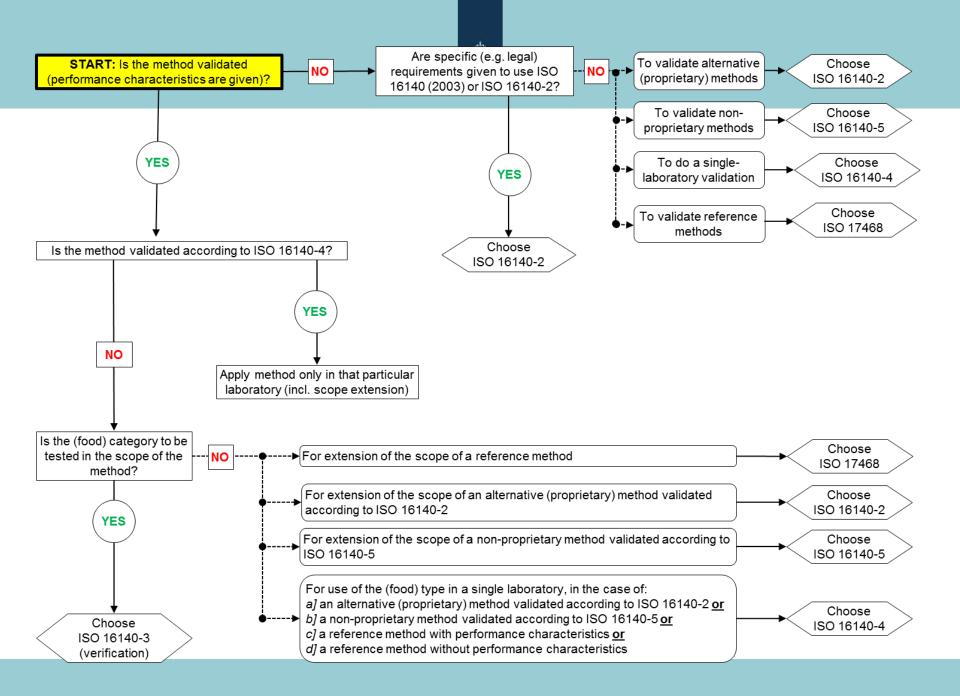
#### Standards under responsibility WG 3

- Validation of standardized methods (ISO 17468) described the rules for validation or re-validation of standardized (ISO or CEN) methods. Based on principles described in ISO 16140-2.
- **Single lab validation** describes the validation against a reference method or without a reference method using a classical approach or a factorial design approach. Validation results are only valid in the lab that performed the validation.
- Factorial design validation describes the validation using a interlaboratory study based on factorial design approach.



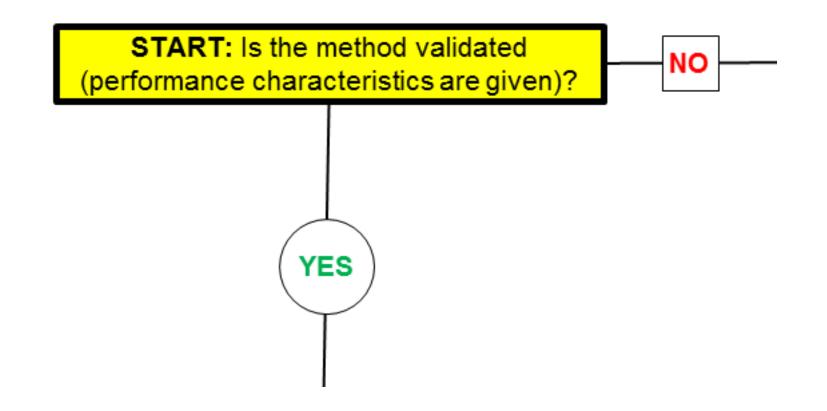
#### Current status of the standards

- ISO 16140-1: Vocabulary. Published in July 2016
- ISO 16140-2: Protocol for the validation of alternative (proprietary) methods. Published in July 2016.
- ISO 17468: Technical requirements and guidance on establishment or revision of a standardized reference method. Published in July 2016.
- ISO 16140-3: Protocol for the verification of reference and validated alternative methods implemented in a single laboratory. FDIS in preparation.
- ISO 16140-4: Protocol for method validation in a single laboratory. FDIS in preparation.
- ISO 16140-5: Protocol for factorial interlaboratory validation for nonproprietary methods. FDIS in preparation.
- ISO 16140-6: Protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures. FDIS in preparation.



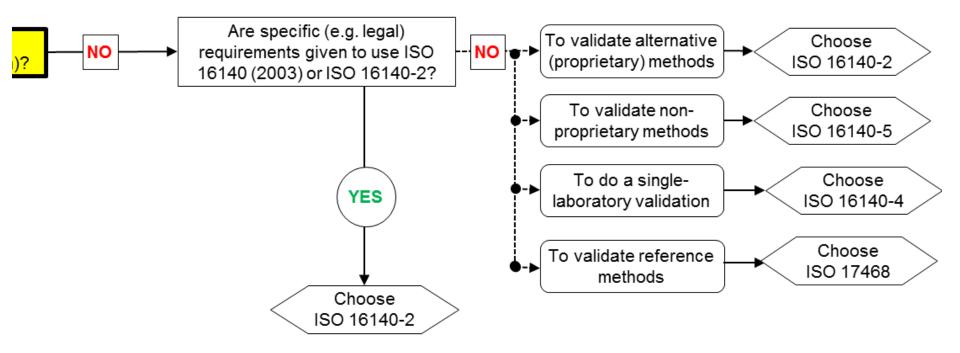


#### Basic question in yellow:

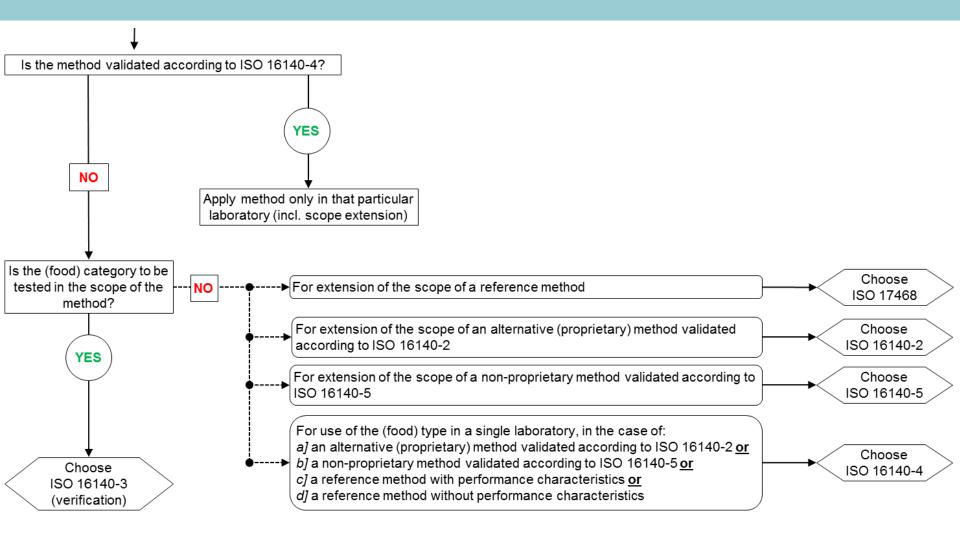


#### Method not validated





#### Method validated







Science. Applied to Life.™

### ISO/DIS 16140 Microbiology of the Food Chain – Method Validation – Part 3:

Protocol for the verification of reference and validated alternative methods implemented in a single laboratory

DeAnn Benesh, 3M Food Safety

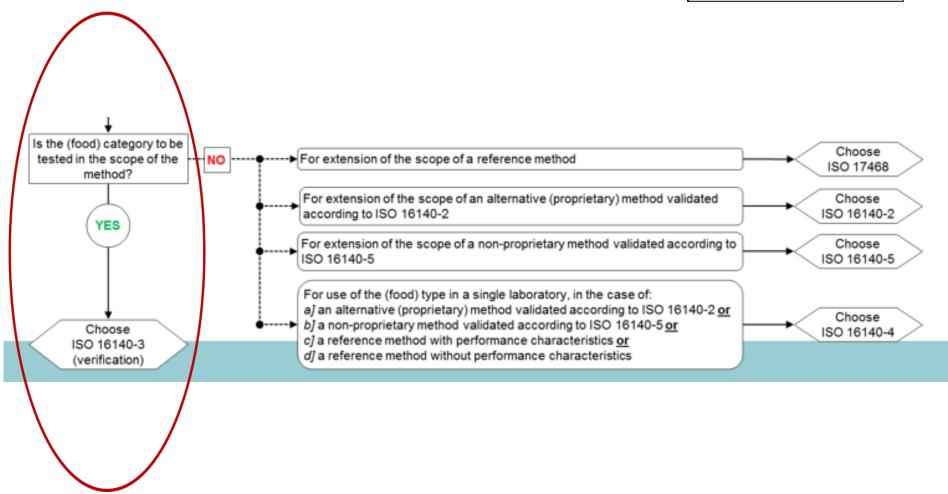
• Co-Chair ISO 16140-3 (w/Benjamin Diep, Nestle)



International Organization for Standardization

## Method Validated?





### Distinguishing Validation & Verification (ISO 16140-1:2016)

### Validation (Clause 2.81)

 Establishment of the performance characteristics of a method and provision of objective evidence that the *performance requirements for a specified intended use are fulfilled*

### Verification (Clause 2.83)

 Demonstration that a validated method functions in the user's hands according to the method's specifications determined in the validation (2.81) study and is fit for its purpose



## **Published Documents on Verification**



#### <u>US FDA</u>

 FDA Bacteriological Analytical Methods (BAM) Appendix 3; Section 4

Appendix 3 Guidelines for the Validation of Analytical Methods for the Detection of Microbial Pathogens in Foods and Feeds, 2<sup>nd</sup> Edition (PDF, 1.32Mb, May 2015), FDA Foods and Veterinary Medicine Science and Research Steering Committee, US Food and Drug Administration, Office of Foods Updated 09/2015



#### Health Products and Food Branch (HPFB) of Health Canada

Compendium of Methods – Volume 1, Development of Methods



Part 5: Guidelines to Verify Standard Food Microbiological Methods for Implementation in Routine Testing

April 2015

"Click" on the link and send an email to request an emailed copy

### ISO 16140-2:2016



Table A. 1: Classification of sample types & suggested target combinations forvalidation studies

CATEGORIES						
Raw Milk & Dairy Products	Heat Processed Milk & Dairy Products	Raw meat & Ready-to-cook meat products (except poultry)	Ready-to-eat, ready-to- reheat meat products	Raw Poultry & ready-to-cook poultry products	Ready-to-eat, ready-to- reheat meat poultry products	
Eggs & egg products (derivatives )	Raw & ready- to-cook fish & seafoods (unprocessed)	Ready-to-eat, ready-to- reheat fishery products	Fresh produce & fruits	Processed fruits & vegetables	Dried cereals, fruits, nuts, seeds and vegetables	
Infant formula & infant cereals	Chocolate, bakery products & confectionary	Multi- component foods or meal components	Primary production samples	Pet food & animal feed	Environmental samples (food or feed production)	

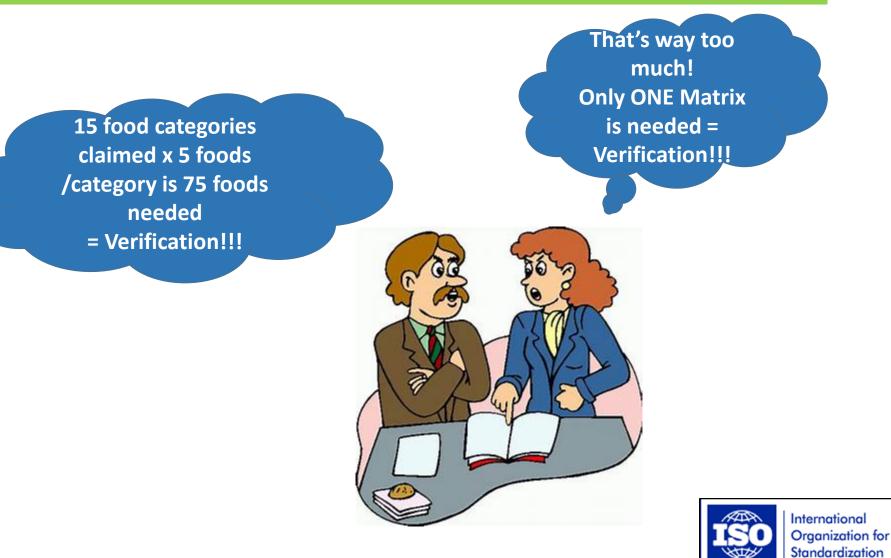
### How many samples to test for Verification?



International Organization for Standardization



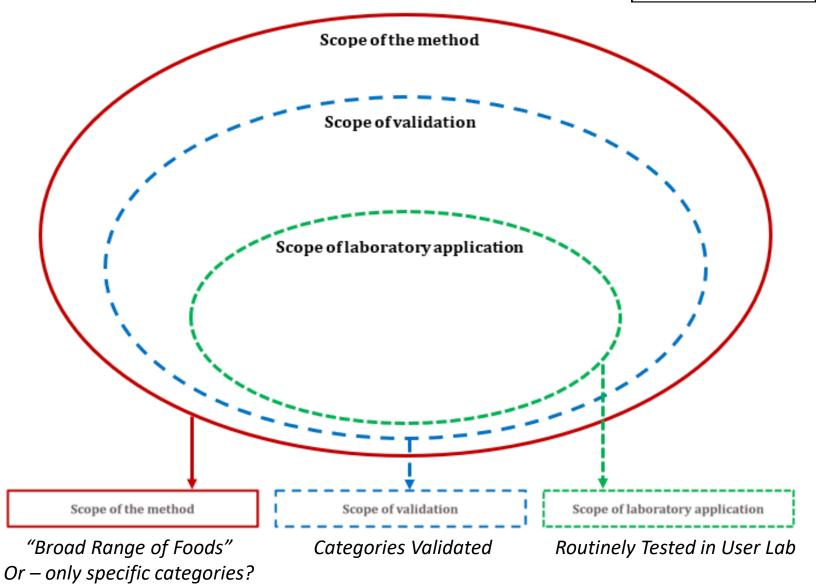
### Number of Samples to Test? BIG Debates!



### **Overlap Between Scopes**



International Organization for Standardization



### Verification = 2 Step Procedure



### 1) Implementation Verification:

Demonstrate the competence of the user laboratory to *perform the method* 

### 2) (Food) item Verification :

 Demonstrate the competence of the user laboratory to perform the method with (food) items routinely tested in the user laboratory

### Implementation



#### **1)** Implementation Verification:

# Demonstrate the competence of the user laboratory to *perform the method*

- When published validation data are available (reference methods; validated alternative methods), the user laboratory shall
- review the published validation data for the method,
- select one (food) item tested during the validation study that belongs within the scope of laboratory
  application of the user laboratory, if possible, and
- use this (food) item and the sample size used in the validation study to perform implementation verification.

## (Food) item



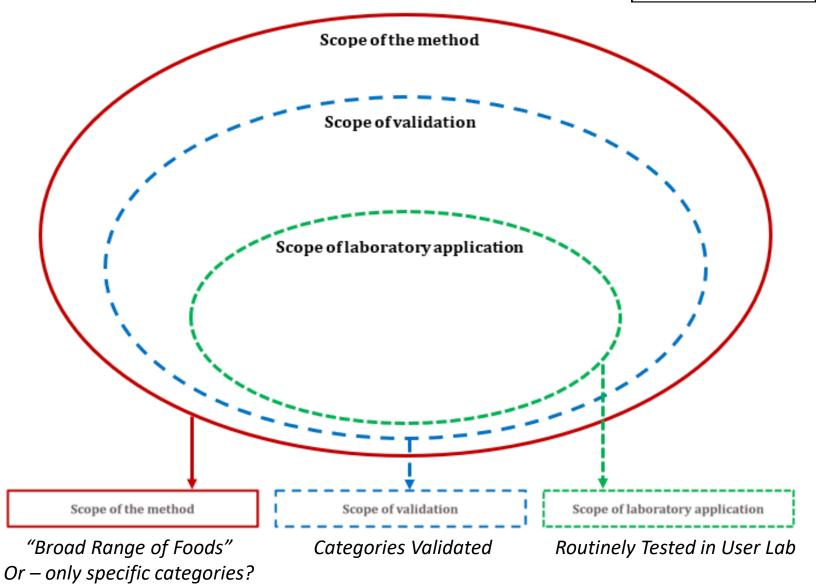
### 2) (Food) item Verification :

- Demonstrate the competence of the user laboratory to perform the method with (food) items routinely tested in the user laboratory
- The user laboratory shall
- select one challenging (food) item per each (food) category listed within the scope of validation (see 4.4 for details), that is also a (food) category that is tested within the scope of laboratory application, and
- use this (food) item and the sample size used in the validation study to perform the (food) item verification.

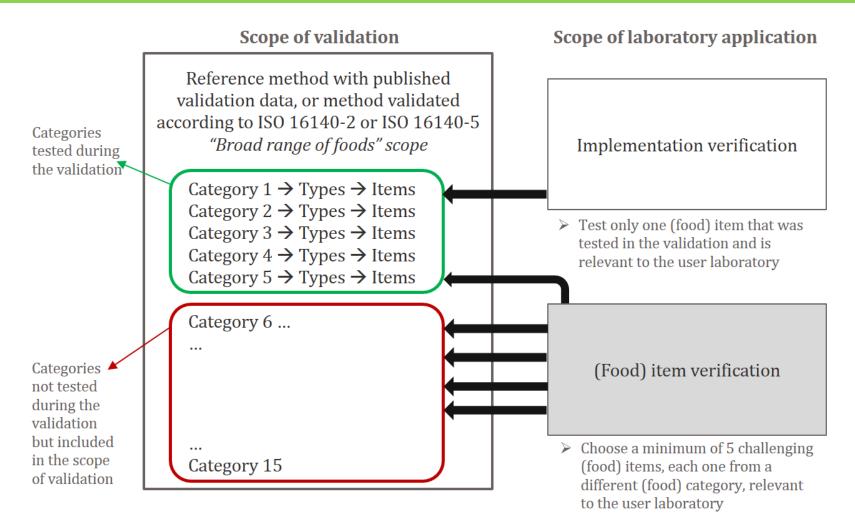
### **Overlap Between Scopes**



International Organization for Standardization

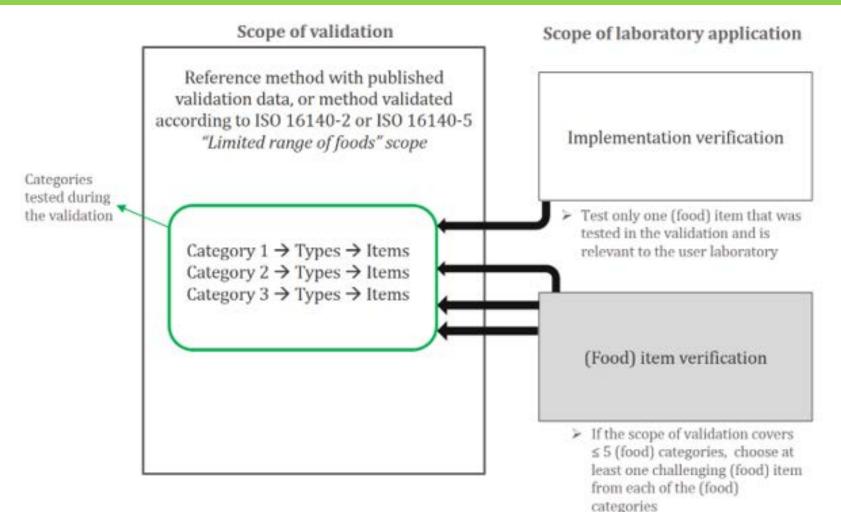


### (Food) Item Verification – *Broad Range of Foods*





## (Food) Item – Limited Range of Foods





### Acceptance criteria in verification



Method	Performance characteristics	Acceptance criteria		
Qualitative	eLOD50 (when LOD50 available in the validation study)	$eLOD_{50} \le 4 \times LOD_{50}$		
	eLOD50 (when no LOD50 available)	eLOD <sub>50</sub> ≤ 4 <u>cfu</u> /test <u>portion</u>		
	S <sub>IR</sub> (for methods with validation data)	$S_{IR} \leq \text{lowest } S_R \text{ mean value}$ determined in the validation study		
Quantitative	eBias	log10 cfu/g (inoculum) – mean log10 cfu/g (artificially contaminated [food] item) ≤ 0,5 log10 cfu/g for each of the inoculation levels <sup>a</sup>		
Confirmation	Inclusivity/Exclusivity	Complete concordance between methods		
* For readability, only cfu/g is given but the results can also be expressed in cfu/ml.				

#### Inter-Laboratory study required = ISO 16140-2 and AOAC OMA methods



ISO has recognized several ISO 16140 documents as "high profile" because they believe the global food industry has a great need for these documents:

- ISO 16140-2 Method Validation Published August 2016
- ISO 16140-3 Method Verification Expected publication 2019

Decision to gather input from USER LABORATORIES, vs just WG3 Experts

## Acceptance Criteria defined BEFORE starting

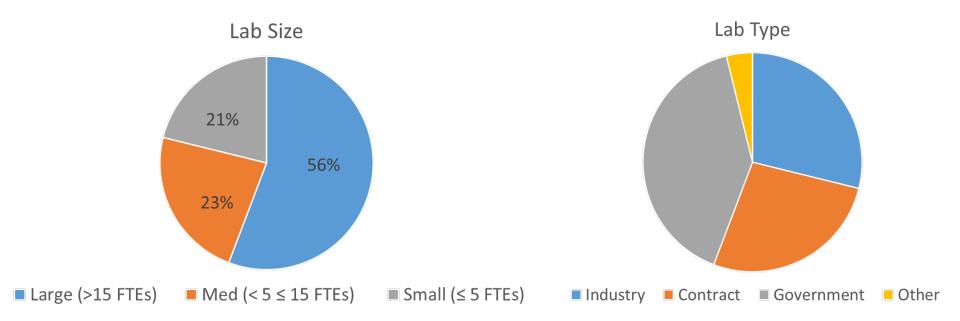
- Responses from > 30 global laboratories
  - Various lab sizes
  - Global regions (including Africa/Middle East if possible)
  - Industry, Contract, Government
- ALL responses to the questionnaire rated ≥ 3 on a 1-5 scale
- 75 % of the user laboratories are able to
  - follow and understand ISO/CD 16140-3
  - for those that attempted, are able to conduct a verification

#### **Request to SC9 = 30 labs; Further recruiting = 60 labs**



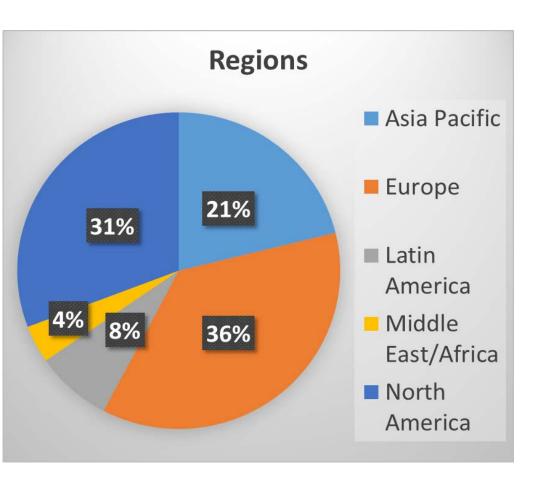


### 52 of 60 labs responded = 80% response rate!



## **User Laboratory Participation**





Countries



Australia

Canada

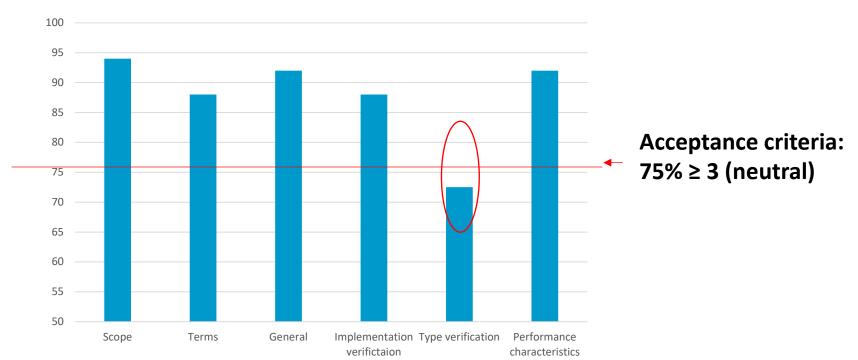
Finland

India

Japan

Thailand

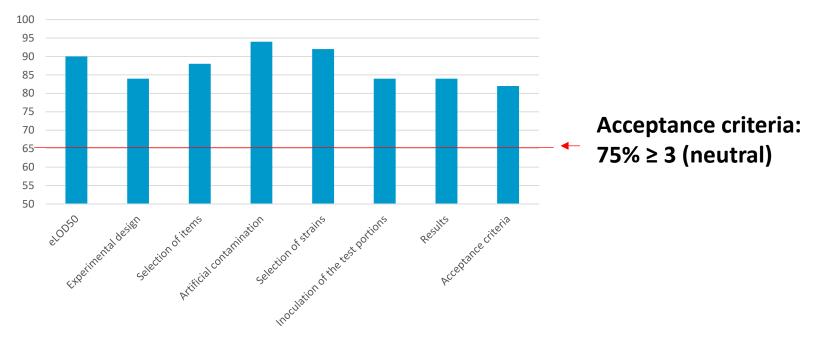
### User Laboratory Evaluation: Text Comprehension



#### General



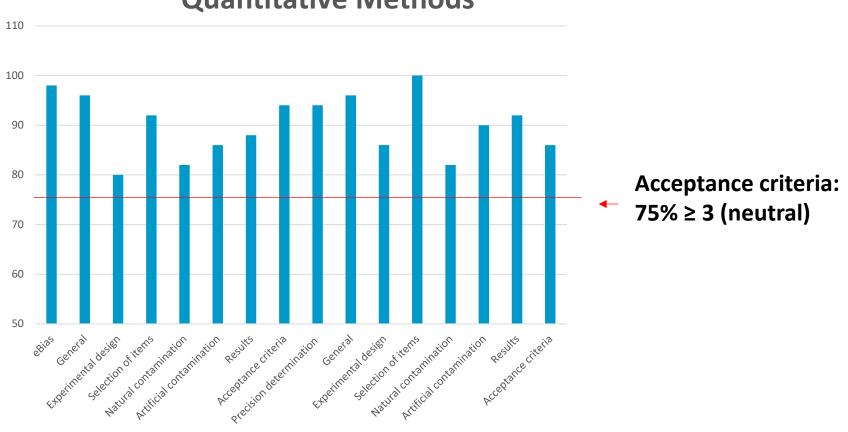
### User Laboratory Evaluation: Text Comprehension



#### **Qualitative Methods**



## User Laboratory Evaluation: Text Comprehension



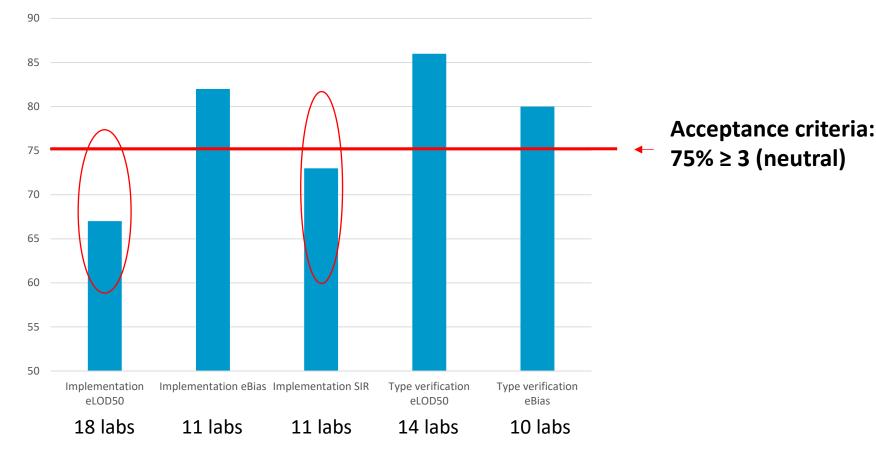
**Quantitative Methods** 



User Laboratory Evaluation: Practice



#### Verification on site



## Publications Expected – End of 2019



International Organization for Standardization

## ISO 16140-3 Document

- ~ 35 pages = Protocol
- ~ 35 pages = Annexes with examples

## **Transition Document:**

- Guidance on transition to meet ISO 17025 requirements:
  - For Labs, Assessors (Technical), Accreditation Bodies
- Methods currently under scope of Lab ISO 17025 accreditation:
  - Methods under the scope of the accreditation of the laboratory for which verification has already been conducted do not need to re-verify their methods according to ISO 16140-3

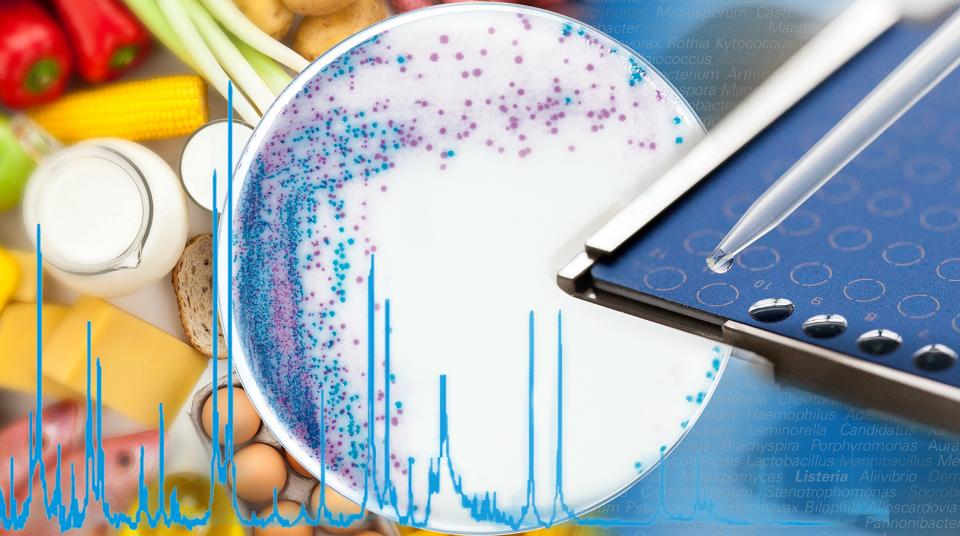


## ISO 16140-part 6

#### Protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures



Daniele Sohier, Business Developement Manager – Industrial Microbiology, Germany



# ISO 16140 for the validation of alternative (proprietary) methods Timeline (1/2)



- 2003 Publication of the ISO 16140 standard Protocol for the validation of alternative methods
- 2006 Revision of the ISO 16140
- 2016 Publication
  - → ISO 16140–part 1: Vocabulary
  - ISO 16140-part 2: Protocol for the validation of alternative (proprietary) methods against a reference method
- 1. Alternative (proprietary) methods for the detection and enumeration of specific microorganisms are validated according to the ISO 10140 standard since 15 years
- 2. This ensures the recognition of the validated methods by regulation bodies (e.g. EU 2073/2005, FDA) and facilitate the accreditation process by the end-users
- 3. These alternative methods enable usually time- and cost- saving, and are easy-to-use

→ What about the validation and recognition of confirmation and typing methods?

# ISO 16140 for the validation of alternative (proprietary) methods Timeline (2/2)



- 2003 Publication of the ISO 16140 standard Protocol for the validation of alternative methods
- 2006 Revision of the ISO 16140
- 2016 Publication
  - → ISO 16140–part 1: Vocabulary
  - ➡ ISO 16140-part 2: Protocol for the validation of alternative (proprietary) methods against a reference method
- 2018 Pre-FDIS of the ISO 16140-part 6: Protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures





Date: 2018-09-28

Draft for ISO/FDIS 16140-6 (the "pre-FDIS" as submitted to the SC 9-secretariat)

ISO/TC 34/SC 9/WG 3/N 474

Secretariat: NEN

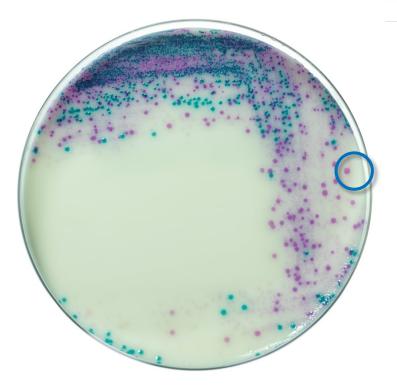
Project leaders: Wilma Jacobs-Reitsma and Kirsten Mooijman

Microbiology of the food chain — Method validation — Part 6: Protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures

Publication in 2018 after translation in French and German

#### ISO 16140-6 General principles (1/4) Sample





The sample is a microbial isolate on a specific culture medium

Therefore, the culture media tested during the validation shall be clearly defined

Photo kindly provided by Bio-Rad

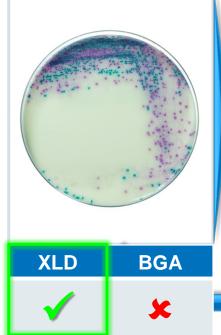
### ISO 16140-6 General principles (2/4) Workflow



Screening step, i.e. detection or enumeration

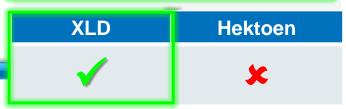
- Reference method, e.g. ISO or FDA method
- Alternative method validated according to the ISO 16140-2 standard

Isolation on defined culture media



#### Confirmation or Typing

- Reference method protocol, e.g. ISO or FDA method
- Proprietary protocol tested during the ISO 16140-2 validation of the screening method
- Alternative method validated according to the ISO 16140-part 6 standard for defined culture media



## ISO 16140-6 General principles (3/4) Study requirements

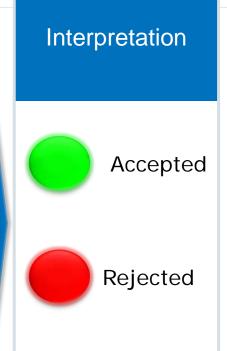


#### ISO 16140-6 study

- The testing and data interpretation SHALL be conducted by an expert (independant) laboratory
- The data generated by the alternative method are compared to the reference method, e.g. ISO or FDA confirmation procedure

#### Acceptability Limits (AL)

 maximum positive or negative acceptable difference between the reference value (or if not known, the accepted reference value) of a sample and an individual result obtained when applying the operating procedure of an analytical method



### ISO 16140-6 General principles (4/4) Study process



#### Method Comparison study on numerous

- target strains = inclusivity testing
- non-target strains = exclusivity testing
- To assess the **reliability** of the method

Expert laboratory (third party)



**Inter-laboratory study** with a restricted number of target and non-target strains to assess the **reproducibility** of the method with different operators, instruments, materials

Minimum 10 valid data sets from different collaborators

## ISO 16140-part 6 Method Comparaison Study (1/4) Selection of test strains



- Each strain shall be characterized biochemically and/or serologically and/or genetically in sufficient detail for its identity to be known.
- Strains used should preferentially have been isolated from foods, feeds, the food-processing environment, or primary production taking into account the scope of the validation.

However, clinical, environmental, and culture collection strains can be used.

3. The original source of all isolates should be known and they should be held in a local (e.g. expert laboratory), national, or international culture collection to enable them to be used in future testing, if required.

## ISO 16140-part 6 Method Comparaison Study (2/4) Inclusivity and Exclusivity Testing



The panel of strains shall be isolated on the tested culture media

Target analyte	Inclusivity panel (+)	Exclusivity panel (-)
Family level e.g. <i>Enterobacteriacea</i>	200 strains	<ul> <li>100 strains</li> </ul>
Genus level e.g. <i>Listeria</i> spp.	• 150 strains	<ul> <li>100 strains*</li> </ul>
Species level e.g. <i>L. monocytogenes</i>	<ul> <li>Usually 100 strains</li> <li>150 strains for Salmonella spp.</li> </ul>	<ul> <li>100 strains including 50 strains from the same genus*</li> </ul>
Typing level e.g. Salmonella serotypes	<ul> <li>25 strains per type</li> </ul>	<ul> <li>100 strains including 75 strains from the non-target types</li> </ul>

\*See special design for *Salmonella* spp.

e.g. Genus level with 5 selective media + 1 non-selective agar 6 media x (150 + 100) strains = 1 500 tests

## ISO 16140-part 6 Method Comparaison Study (3/4) Interpretation



Inclusivit	у		Root cause analysis	s
Reference Method	Alternative Method	Comparaison Ref / Alt	Identity of strain	Final Interpretation
+	+	PA	Not required	
+	-	ND = FN ?	+	ID
_a	+ <sup>a</sup>	PD = FP?	+	IA
_a	_a	NA	Not required	IA
Exclusivit	У			
Reference Method	Alternative Method	Comparaison Ref / Alt	Identity of strain	Final Interpretation
-	-	NA	Not required	EA
-	+	PD = FP ?	-	ED
+ <sup>a</sup>	_a	ND = FN?	-	EA
+ <sup>a</sup>	+ <sup>a</sup>	PA	Not required	EA

<sup>a</sup>not be very likely to be found

P: Positive N: Negative D: Deviation A: Agreement F: False I: Inclusivity E: Exclusivity

November 1, 2018

## ISO 16140-part 6 Method Comparaison Study (4/4) Interpretation



Testing	Agreement	Deviation	Acceptability
Inclusivity	ĽA	ťD	ID ≤ AL
Exclusivity	EA	ED	ED ≤ AL

Example: Genus level, Inclusivity = 150 strains, Exclusivity = 100 strains

Testing	Agreement	Deviation	AL	Acceptability	
Inclusivity	IA = 150/150	ID = 0/150	1	<b>0</b> ≤ <b>1</b>	✓
Exclusivity	EA = 97/100	ED = 3/100	2	3≰2	×

D: Deviation A: Agreement F: False I: Inclusivity E: Exclusivity AL: Acceptability Limit

### ISO 16140-6 General principles (4/4) Study process



#### Method Comparison study on numerous

- target strains = inclusivity testing
- non-target strains = exclusivity testing
- To assess the **reliability** of the method

Expert laboratory (third party)



**Inter-laboratory study** with a restricted number of target and non-target strains to assess the **reproducibility** of the method with different operators, instruments, materials

Minimum 10 valid data sets from different collaborators

## ISO 16140-part 6 Inter-Laboratory Study (1/1) Study Design and Interpretation



Number of <u>blind-coded</u> strains	Number of valid data sets	Interpretation with ID, ED and AL
<ul> <li>Inclusivity: 16</li> <li>Exclusivity: 8</li> </ul>	<ul> <li>10 valid data sets</li> <li>minimum 5 different organizations</li> </ul>	Accepted
		Rejected

#### Proof of Concept ISO 16140-6



General Committee	Food Safety Authorities	Certification and standardization bodies	Laboratories and users	Manufacturers
	FVST NVWA*	AOAC* NMKL Loyd's	ADRIA* Campden BRI* Nestlé*	<b>3M</b> * bioMérieux* Biotecon <b>Bruker</b> * R-Biopharm Merck
Technical Committee	Food Safety Authorities	Certification and standardization bodies	Laboratories and users	Manufacturers
	FDA* FVST <b>NVWA</b> *	Loyd's	ADRIA* Campden BRI* DIL Nestlé* RIVM* <b>Q-Laboratories</b> *	bioMérieux* Biotecon <b>Bruker</b> * Merck

#### Webinar orgnization

\*Organizations involved in the ISO working group on the ISO 16140 series

#### A MicroVal pilot study using the MALDI Biotyper as an alternative for *Salmonella* spp. confirmation

The ISO 16140 standard provides technical and interpretation rules for method validation and verification, and consists of 6 different parts. Part 6 is currently at the DIS (Draft International Standard) stage and describes the protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures. The study design was set up during the past years, and acceptability limits for the data interpretation were defined based on expert opinion, i.e. maximum number of positive or negative deviations between the reference and alternative method.

**Evaluation of the ISO/DIS 16140-6:2017:** Do the technical rules give sufficient detail to conduct the method comparison and inter-laboratory studies? Are the proposed acceptability limits (AL) fit for purpose or too restrictive? A pilot study was coordinated by MicroVal as a proof of concept.

The MALDI Biotyper (Bruker) was tested as an alternative to confirm *Salmonella* spp. from non-selective and selective agars. A method comparison and an inter-laboratory studies were realized. 150 *Salmonella* spp. strains and 100 non-target strains were tested by two expert laboratories in the method comparison study. The collaborative study was run by involving a minimum of 10 organizations to produce 10 valid data sets with 16 target and 8 non-target strains.

See Tables 1 and 2, with the Tested strains (N), Deviation (D) and Acceptability Limit (AL).

The MicroVal reviewers and the expert laboratories encountered no specific difficulties in setting up the project, organizing the testing, and interpreting the generated data. The collaborating laboratories could easily understand the protocol of the ISO/DIS 16140-6:2017 and achieve the required number of tests. The defined AL were easily passed as all the *Salmonella* spp. strains were correctly confirmed with the MALDI Biotyper on all tested media in the method comparison and inter-laboratory studies.

The ISO/DIS 16140-6:2017 provides valuable technical rules and interpretation concept to validate confirmation methods. The observed results were excellent; therefore Microval issued a certificate of validation based on the ISO/DIS 16140-6:2017. The certificate is available on www.microval.org.

<sup>1</sup>Q-Laboratories, <sup>2</sup>Nestlé Research Center, <sup>3</sup>MicroVal, <sup>4</sup>FDA, <sup>5</sup>RIVM & project leader of the ISO 16140-part 6, <sup>6</sup>BRUKER, <sup>7</sup>ADRIA, <sup>8</sup>VWA & convenor of the ISO 16140 working group

TABLE 1: Summary of the Method Comparison Study

Tested Media	Tested Panel of Strains	N	D	AL
Nutrient	Inclusivity	150	0	Accepted
Agar	Exclusivity	100	0	Accepted
XLD	Inclusivity	150	0	Accepted
XLD	Exclusivity	100	0	Accepted
DCA	Inclusivity	150	0	Accepted
BGA	Exclusivity	100	0	Accepted
RAPID'	Inclusivity	150	0	Accepted
Salmonella	Exclusivity	100	0	Accepted
Brilliance	Inclusivity	150	0	Accepted
Salmonella	Exclusivity	100	0	Accepted
	Inclusivity	150	0	Accepted
ASAP	Exclusivity	100	0	Accepted

TABLE 2: Summary of the Inter-Laboratory Study

Tested Media & Number of Labs	Tested Panel of Strains	N	D	AL
Nutrient	Inclusivity	224	0	Accepted
Agar - <b>14 Labs</b>	Exclusivity	112	0	Accepted
XLD	Inclusivity	208	0	Accepted
13 Labs	Exclusivity	104	0	Accepted
RAPID'	Inclusivity	192	0	Accepted
Salmonella 12 Labs	Exclusivity	96	0	Accepted

MICROV

#### 

#### **Proof of Concept**



Bastin<sup>1</sup>, P. Bird<sup>1</sup>, E. Crowley<sup>1</sup>,
B. Diep<sup>2</sup>, I. Ferro<sup>3</sup>, T. Hammack<sup>4</sup>, W.
Jacobs<sup>5</sup>, M. Kostrzewa<sup>6</sup>,
C. Le Doeuff<sup>7</sup>, S. Peron<sup>7</sup>,
M. Rannou<sup>7</sup>, D. Sohier<sup>6</sup>, M. Timke<sup>6</sup>, P. in 't Veld<sup>8</sup>, J. Witsenburg<sup>3</sup>

<sup>1</sup>Q-Laboratories, <sup>2</sup>Nestlé Research Center, <sup>3</sup>MicroVal, <sup>4</sup>FDA, <sup>5</sup>RIVM & project leader of the ISO 16140-part 6, <sup>6</sup>BRUKER, <sup>7</sup>ADRIA, <sup>8</sup>VWA & convenor of the ISO 16140 working group

#### Validation of a confirmation method according to ISO/DIS 16140-6:2017 A MicroVal pilot study using the MALDI Biotyper as an alternative for Salmonella spp. confirmation

B. Bastin<sup>1</sup>, P. Bird<sup>1</sup>, E. Crowley<sup>1</sup>, B. Diep<sup>2</sup>, I. Ferro<sup>3</sup>, T. Hammack<sup>4</sup>, W. Jacobs<sup>5</sup>, M. Kostrzewa<sup>6</sup>, C. Le Doeuff<sup>7</sup>, S. Peron<sup>7</sup>, M. Rannou<sup>7</sup>, D. Sohier<sup>6</sup>, M. Timke<sup>6</sup>, P. in 't Veld<sup>8</sup>, J. Witsenburg<sup>3</sup>

The ISO 16140 standard provides technical and interpretation rules for method validation and verification, and consists of 6 different parts. Part 6 is currently at the DIS (Draft International Standard) stage and describes the protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures. The study design was set up during the past years, and acceptability limits for the data interpretation were defined based on expert opinion, i.e. maximum number of positive or negative deviations between the reference and alternative method.

**Evaluation of the ISO/DIS 16140-6:2017:** Do the technical rules give sufficient detail to conduct the method comparison and inter-laboratory studies? Are the proposed acceptability limits (AL) fit for purpose or too restrictive? A pilot study was coordinated by MicroVal as a proof of concept.

The MALDI Biotyper (Bruker) was tested as an alternative to confirm *Salmonella* spp. from non-selective and selective agars. A method comparison and an inter-laboratory studies were realized. 150 *Salmonella* spp. strains and 100 non-target strains were tested by two expert laboratories in the method comparison study. The collaborative study was run by involving a minimum of 10 organizations to produce 10 valid data sets with 16 target and 8

Tested Media	Tested Panel of Strains	N	D	AL
Nutrient	Inclusivity	150	0	Accepted
Agar	Exclusivity	100	0	Accepted
XLD	Inclusivity	150	0	Accepted
ALD	Exclusivity	100	0	Accepted
DCA	Inclusivity	150	0	Accepted
BGA	Exclusivity	100	0	Accepted
RAPID'	Inclusivity	150	0	Accepted
Salmonella	Exclusivity	100	0	Accepted
Brilliance	Inclusivity	150	0	Accepted
Salmonella	Exclusivity	100	0	Accepted
ASAP	Inclusivity	150	0	Accepted
ASAP	Exclusivity	100	0	Accepted

**TABLE 1: Summary of the Method Comparison Study** 

TABLE 2: Summary of the Inter-Laboratory Study

Tested Media & Number of Labs	Tested Panel of Strains	N	D	AL
Nutrient	Inclusivity	224	0	Accepted
Agar - <b>14 Labs</b>	Exclusivity	112	0	Accepted
XLD	Inclusivity	208	0	Accepted
13 Labs	Exclusivity	104	0	Accepted



#### **Proof of Concept**



The MicroVal reviewers and the expert laboratories encountered **no specific difficulties in setting up the project, organizing the testing, and interpreting the generated data**. The **collaborating laboratories could easily understand the protocol** of the ISO/DIS 16140-6:2017 and achieve the required number of tests. as all the *Salmonella* spp. strains were correctly confirmed with the MALDI Biotyper on all tested media in the method comparison and inter-laboratory studies.

MICROVAL<sup>®</sup>

<sup>1</sup>Q-Laboratories, <sup>2</sup>Nestlé Research Center, <sup>3</sup>MicroVal, <sup>4</sup>FDA, <sup>5</sup>RIVM & project leader of the ISO 16140-part 6, <sup>6</sup>BRUKER, <sup>7</sup>ADRIA, <sup>8</sup>VWA & convenor of the ISO 16140 working group

#### Validation of a confirmation method according to ISO/DIS 16140-6:2017 A MicroVal pilot study using the MALDI Biotyper as an alternative for Salmonella spp. confirmation

B. Bastin<sup>1</sup>, P. Bird<sup>1</sup>, E. Crowley<sup>1</sup>, B. Diep<sup>2</sup>, I. Ferro<sup>3</sup>, T. Hammack<sup>4</sup>, W. Jacobs<sup>5</sup>, M. Kostrzewa<sup>6</sup>, C. Le Doeuff<sup>7</sup>, S. Peron<sup>7</sup>, M. Rannou<sup>7</sup>, D. Sohier<sup>6</sup>, M. Timke<sup>6</sup>, P. in 't Veld<sup>8</sup>, J. Witsenburg<sup>3</sup>

The ISO 16140 standard provides technical and interpretation rules for method validation and verification, and consists of 6 different parts. Part 6 is currently at the DIS (Draft International Standard) stage and describes the protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures. The study design was set up during the past years, and acceptability limits for the data interpretation were defined based on expert opinion, i.e. maximum number of positive or negative deviations between the reference and alternative method.

**Evaluation of the ISO/DIS 16140-6:2017:** Do the technical rules give sufficient detail to conduct the method comparison and inter-laboratory studies? Are the proposed acceptability limits (AL) fit for purpose or too restrictive? A pilot study was coordinated by MicroVal as a proof of concept.

The MALDI Biotyper (Bruker) was tested as an alternative to confirm *Salmonella* spp. from non-selective and selective agars. A method comparison and an inter-laboratory studies were realized. 150 *Salmonella* spp. strains and 100 non-target strains were tested by two expert laboratories in the method comparison study. The collaborative study was run by involving a minimum of 10 organizations to produce 10 valid data sets with 16 target and 8 non-target strains.

See Tables 1 and 2, with the Tested strains (N), Deviation (D) and Acceptability Limit (AL).

Tested Media	Tested Panel of Strains	N	D	AL
Nutrient	Inclusivity	150	0	Accepted
Agar	Exclusivity	100	0	Accepted
XLD	Inclusivity	150	0	Accepted
XLD	Exclusivity	100	0	Accepted
DCA	Inclusivity	150	0	Accepted
BGA	Exclusivity	100	0	Accepted
RAPID'	Inclusivity	150	0	Accepted
Salmonella	Exclusivity	100	0	Accepted
Brilliance	Inclusivity	150	0	Accepted
Salmonella	Exclusivity	100	0	Accepted
	Inclusivity	150	0	Accepted
ASAP	Exclusivity	100	0	Accepted

**TABLE 1: Summary of the Method Comparison Study** 

TABLE 2: Summary of the Inter-Laboratory Study

Tested Media & Number of Labs	Tested Panel of Strains	N	D	AL
Nutrient	Inclusivity	224	0	Accepted
Agar - <b>14 Labs</b>	Exclusivity	112	0	Accepted
XLD	Inclusivity	208	0	Accepted
13 Labs	Exclusivity	104	0	Accepted
RAPID'	Inclusivity	192	0	Accepted
Salmonella 12 Labs	Exclusivity	96	0	Accepted



The ISO/DIS 16140-6:2017 provides valuable technical rules and interpretation concept to validate confirmation methods. The observed results were excellent; therefore Microval issued a certificate of validation based on the ISO/DIS 16140-6:2017.

The certificate is available on www.microval.org

<sup>1</sup>Q-Laboratories, <sup>2</sup>Nestlé Research Center, <sup>3</sup>MicroVal, <sup>4</sup>FDA, <sup>5</sup>RIVM & project leader of the ISO 16140-part 6, <sup>6</sup>BRUKER, <sup>7</sup>ADRIA, <sup>8</sup>VWA & convenor of the ISO 16140 working group

#### 

#### **Proof of Concept**



58

#### MALDI Biotyper ISO 16140-Part 6 Certification



ISO/DIS 16140-6: document available on the ISO website, accepted with 100% positive votes during the public enquiry
 ➔ No major modifications

Date: 2018-09-28

Draft for ISO/FDIS 16140-6 (the "pre-FDIS" as submitted to the SC 9-secretariat)

ISO/TC 34/SC 9/WG 3/N 474

Secretariat: NEN

Project leaders: Wilma Jacobs-Reitsma and Kirsten Mooijman

Microbiology of the food chain — Method validation — Part 6: Protocol for the validation of alternative (proprietary) methods for microbiological confirmation and typing procedures

#### MALDI Biotyper ISO 16140-Part 6 Certification



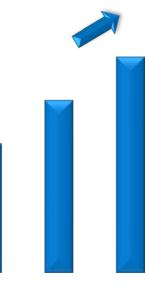
Certificate n° 2017LR72 Confirmation of *Cronobacter* spp. by the Bruker MALDI Biotyper method

Certificate n° 2017LR73 Confirmation of *Salmonella* spp. by the Bruker MALDI Biotyper method

Certificate n° 2017LR74 Confirmation of *Campylobacter* spp. by the Bruker MALDI Biotyper method

Certificate n° 2017LR75 Confirmation of *Listeria* spp. and *Listeria monocytogenes* by the Bruker MALDI Biotyper method

# Number of accredited laboratories



November 1, 2018

## Questions?

Slides and a recording of this webinar will be available for access by IAFP members at <u>www.foodprotection.org</u> within one week.

